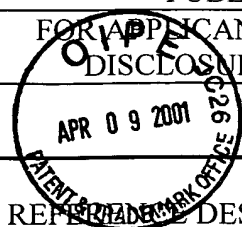


FORM PTO-1449 (MODIFIED)	ATTORNEY DOCKET NO. Breyne 9	SERIAL NO. 09/706,122
LIST OF PATENTS AND PUBLICATIONS		

FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT	APPLICANT Oliver Breyne, You-Ping Chen, Patrick Jean	
	FILING DATE November 3, 2001	GROUP: 1712



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REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
<i>ROZ</i>	AA	3,567,605	3/71	Becker	204	158	
	AB	3,627,690	12/71	Casella et al.	252	300	
	AC	4,826,977	5/89	Heller et al.	544	70	
	AD	5,200,116	4/93	Heller	252	586	
	AE	5,238,981	8/93	Knowles	524	110	
	AF	5,411,679	5/95	Kumar	252	586	
	AG	5,451,344	9/95	Knowles	252	586	
	AH	5,448,814	10/95 8/96	Harang KUMAR et al.	452 252	38 586	
	AI	5,651,923	7/97	Kumar	252	586	
	AJ	5,645,767	7/97	Van Gemert	252	586	
	AK	5,698,141	12/97	Kumar	252	586	

FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Sub-Class	Translation	
							Yes	No
<i>ROZ</i>	AL	WO 95/05382	2/95	PCT	—	—	X	
	AM	WO96/14596	5/96	PCT	—	—	X	
	AN	WO 97/21698	6/97	PCT	—	—	X	
<i>ROZ</i>	AN	WO 94/22850	10/94	PCT	—	—	X	
	AQ							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)			
<i>ROZ</i>	AR		Zhang et al., Free Energy and Entropy Changes in vertical and Nonvertical Triplet Energy Transfer Processes between Rigid and Nonrigid Molecules. A Laser Photolysis Study, J. Am. Chem. Soc. 1993, 115, pp. 3670-3673.
	AS		Sato et al., New and Convenient Synthesis of 2-Substituted 2,3-Dihydro-1H-benz[de]isoquinolin-1-ones, Bull. Chem. Soc. Jpn., 61, 2238-2240 (1988).
	AT		Bailey et al., Reduction of Cyclic Anhydrides with NaBH ₄ , Versatile Lactone Synthesis, J. Org. Chem., Vol. 35, No. 10, 1970, pp. 3574-3576.
	AU		Kotsuki et al., The Reactions of α -Alkoxy-Substituted Styrenes with Dimethyl Acetylenedicarboxylate and Dimethyl Fumarate Novel Adducts in the Reaction with Dimethyl Fumarate, Bull. Chem. Soc. Jpn., 67, pp. 599-602, 1994.
<i>ROZ</i>	AV		J.C. Crano et al., Spiroxazines and their use in photochromic lenses, Applied Photochromic Polymers Systems, Chapter 2, 1992.
	AW		

EXAMINER: *LOVERING*

DATE CONSIDERED: *5-1-03*

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (MODIFIED) LIST OF PATENTS AND PUBLICATIONS	ATTORNEY DOCKET NO. Breyne 9	SERIAL NO. 09/706,122
FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT		APPLICANT Oliver Breyne, You-Ping Chan, Patrick Jean
FILING DATE: November 3, 2001		GROUP 1712

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TC 1700

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
RDL	AA	5,783,116	7/98	Lin	252	586	
	AB	5,811,034	9/98	Lin	252	586	
RDL	AC	5,429,774	7/95	Kumar	252	586	
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Sub-Class	Translation	
							Yes	No
RDL	AL	2,718,447	10/95	France	—	—		X
	AM	2,762,845	11/98	France	—	—		X
RDL	AN	562 915	7/00	EPO	—	—	X	
	AO							
	AP							
	AQ							

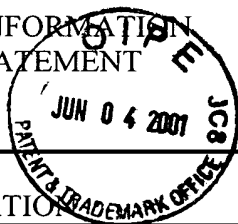
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
	AR	
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	AU	
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	AW	

EXAMINER: **LOVERING**

DATE CONSIDERED: **5-1-03**

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (MODIFIED)	ATTORNEY DOCKET NO.	SERIAL NO.
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT	Breyne 9	09/706,122
APPLICANT Breyne et al.		
FILING DATE November 3, 2000		GROUP: 1712



REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS				
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub-Class	Translation Yes No
	AL						
	AM						
	AN						
	AO						
	AP						
	AQ						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
AR	Canonne et al., Tetrahedron Vol. 44, No. 10, pp. 2903-2912, 1988.	
AS		
AT		
AU		
AV		

EXAMINER: LOVERING DATE CONSIDERED: 5-1-03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.